

Attorney Docket No.: YOR920030075US1 (8728-613)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Louis L. Hsu et al. Examiner: Jeff B. Vockrodt  
SERIAL NO.: 10/643,307 Group Art Unit: 2822  
FILED: August 19, 2003 Dated: June 15, 2004  
FOR: METAL-INSULATOR-METAL CAPACITOR AND METHOD OF  
FABRICATING SAME

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- ☒ No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	30	45	0	x 9 =	\$0	x 18 =	\$0
INDEPENDENT CLAIMS	5	8	0	x 43 =	\$0	x 86 =	\$0
<input type="checkbox"/> First Presentation of Multiple Dep. Claim				140		290	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".


\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 15, 2004.

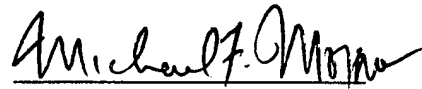
Dated: June 15, 2004

  
Michael F. Morano

- ☐ Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$\_\_\_\_\_. Two (2) copies of this sheet are enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

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Respectfully submitted,



Michael F. Morano  
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Attorney for Applicant(s)



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**AMENDMENT AND RESPONSE TO OFFICE ACTION**

Sir:

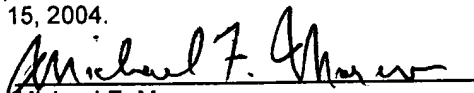
This Amendment is submitted in response to the March 27, 2004 Office Action issued by the United States Patent and Trademark Office in connection with the above-identified application. A response to the March 27, 2004 Office Action is due on June 27, 2004. Therefore, this Amendment is being timely filed.

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